



# ADVANTAGE FUTURES

231 S. LaSalle St., Suite 1400  
Chicago, IL 60604  
312.800.7000  
www.AdvantageFutures.com

## CORPORATE ACCOUNT APPLICATION

**Account Type**  
(check all that apply)

Date of Application \_\_\_\_\_

<input type="checkbox"/> Corporate	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Company
<input type="checkbox"/> Omnibus Client	<input type="checkbox"/> Omnibus House	<input type="checkbox"/> Commodity Pool
<input type="checkbox"/> Other (please specify) _____	<input type="checkbox"/> Trust	

### Account Information

<b>Legal Account Name</b>				
Primary Contact Name		Title		
Primary E-Mail	Secondary E-Mail	Business Fax		
Primary Phone <input type="checkbox"/> Office <input type="checkbox"/> Home <input type="checkbox"/> Cell		Secondary Phone <input type="checkbox"/> Office <input type="checkbox"/> Home <input type="checkbox"/> Cell		
Principal Business Address	City	State/Province	Zip	Country
Tax Payer ID	Legal Entity Identifier (LEI) (if trading non-U.S. products)			
State of Organization	Nature of Business			

### Financial Information

Please complete the information below if you are unable to provide financial statements for the most recent two years.

Assets _____	Liabilities _____	Net Worth _____
Liquid Assets _____	Liquid Net Worth _____	
Annual Income _____		

Please complete banking information below (required).

Commercial Bank Reference - Bank Name	Bank Contact	Contact Phone Number		
Bank Address	City	State/Province	Zip	Country
Name on Bank Account	ABA Routing Number	Account Number		

# CORPORATE ACCOUNT APPLICATION

**Authorized Individuals**

(If non-owner or non-employee, please complete and attach managed paperwork.)

List all individuals authorized to trade for Account. If list exceeds 3, please provide this information separately.

Name	Relationship
Primary Phone <input type="checkbox"/> Office <input type="checkbox"/> Home <input type="checkbox"/> Cell	Primary E-mail
Name	Relationship
Primary Phone <input type="checkbox"/> Office <input type="checkbox"/> Home <input type="checkbox"/> Cell	Primary E-mail
Name	Relationship
Primary Phone <input type="checkbox"/> Office <input type="checkbox"/> Home <input type="checkbox"/> Cell	Primary E-mail

Yes  No Will a third-party advisor be given discretionary authority to trade the Account?

If yes, please complete the following information regarding the Trading Manager. (Managed Account Forms need to be completed.)

Name	Primary Phone <input type="checkbox"/> Office <input type="checkbox"/> Home <input type="checkbox"/> Cell		
Address			
City	State / Province	Zip	Country

Yes  No Are any other futures accounts at Advantage controlled by authorized traders of this Account listed above?

If yes, please list \_\_\_\_\_

Yes  No Are there any other persons or entities with a financial interest of 10% or more in this Account or who have invested any money in this Account?

If yes, please list

Yes  No Are there any other futures accounts at Advantage in which Client or its controlling persons or beneficial owners have a 10% or greater financial interest?

If yes, please list

## CORPORATE ACCOUNT APPLICATION

Yes  No Is Client (officers, directors or employees) registered with the Commodity Futures Trading Commission and/or a member of the National Futures Association?

If registered, specify in what capacity \_\_\_\_\_  
\_\_\_\_\_

Yes  No Is Client (officers, directors or employees) related to any person associated with or employed by Advantage Futures LLC?

If yes, describe briefly \_\_\_\_\_  
\_\_\_\_\_

Yes  No Is Client (officers, directors or employees) affiliated with any brokerage firm, futures or securities exchange or regulatory agency?

If yes, describe briefly \_\_\_\_\_

Yes  No Has Client (officers, directors or employees) now or ever been involved in litigation, arbitration, disputed accounts, unpaid debit balances or unresolved matters with futures or securities brokers or brokerage firms?

If yes, describe briefly \_\_\_\_\_  
\_\_\_\_\_

Yes  No Has Client (officers, directors or employees) ever been subject to federal or state bankruptcy proceedings, receivership or similar proceeding (voluntary or involuntary)?

If yes, describe briefly \_\_\_\_\_  
\_\_\_\_\_

Yes  No Does Client or its officers, directors or employees hold memberships on any Commodity or Securities Exchanges?

If yes, please list \_\_\_\_\_  
\_\_\_\_\_

## CORPORATE ACCOUNT APPLICATION

Yes  No Does Client (officers, directors or employees) have previous experience in futures trading?

If yes, number of years \_\_\_\_\_

Yes  No Does Client (officers, directors or employees) have previous experience trading options on futures ?

If yes, number of years \_\_\_\_\_

Please list firms currently or previously used for futures, options on futures, or securities

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### Statement Delivery

I hereby acknowledge and consent that all confirmation of trades, statements of account and monthly statements (collectively "Account Statements") will be transmitted electronically. Account Statements should be transmitted no later than the next business day after a transaction has been effected. In event of a disruption in the ability of Advantage Futures LLC to transmit Account Statements by electronic means, Advantage Futures LLC reserves the right to transmit Account Statements by any means that it reasonably deems appropriate, including by facsimile or regular mail. My consent will be deemed effective until further notice is given. This consent may be revoked at any time by written notice to [Compliance@AdvantageFutures.com](mailto:Compliance@AdvantageFutures.com).

I acknowledge and consent to electronic Account Statements delivery

E-mail to Send Account Statements

to (list all emails that apply): \_\_\_\_\_

## CORPORATE ACCOUNT APPLICATION

### Does your trading qualify as bona fide hedge transactions as defined under CFTC Rule 1.3?

Yes    No

If you replied yes, CFTC Rule 190.06(d) requires Advantage provide you the opportunity to specify, in the unlikely event of Advantage's bankruptcy, whether you prefer the trustee automatically liquidate open futures and options contracts held in your bona fide hedge account or the trustee to request instructions from you concerning your preferred disposition of open contracts by transfer or liquidation. Please check applicable box. If neither is checked, you will be deemed to have elected to have all positions liquidated. This instruction may be changed at any time by written notice to Advantage.

- Liquidate all open commodity contracts without first seeking instructions from or on behalf of Client.
- Attempt to obtain instructions with respect to the disposition of all commodity contracts.

### Please Read and Acknowledge Below

Client fully understands trading in futures contracts, options on futures, foreign exchange transactions, trading physical or cash commodities, and exchange for physicals ("EFP"), or any other transaction Advantage Futures LLC ("Advantage") executes or clears on Client behalf involves volatile markets subject to sharp price fluctuations which may result in the loss of capital greater than the amount of capital contained in Client account. Client understands on certain trading days, trading may be halted to Client's financial disadvantage. Client affirms to be willing and financially able to assume the risks to which Client capital will be exposed. Client hereby authorizes Advantage at any time to make inquiries, including with banks or any credit agency, for purposes of verifying information contained in this Application or otherwise supplied to Advantage. Further, Client understands if Advantage discovers any adverse information that bears on credit worthiness or questions the legality, soundness or ethics of Client business dealings, Advantage may refuse to accept or continue to keep Client as a client.

**THE UNDERSIGNED CLIENT REPRESENTS AND WARRANTS TO ADVANTAGE FUTURES LLC THE FOREGOING INFORMATION CONTAINED IN THIS CLIENT APPLICATION AND ANY INFORMATION SEPARATELY PROVIDED TO THE FIRM IS TRUE, CORRECT, ACCURATE, AND COMPLETE. CLIENT AGREES TO NOTIFY ADVANTAGE FUTURES LLC IN THE EVENT ANY INFORMATION CONTAINED IN THIS APPLICATION OR SEPARATELY PROVIDED HEREWITH CHANGES.**

Name of Account \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Name and Title - Please Print \_\_\_\_\_

## Acknowledgement of Execution Services

As a courtesy for clients, Advantage Futures LLC ("Advantage") has made arrangements with one or more other registered FCMs ("Order-Taking FCM") to provide client access to a global 24-hour execution service. This service is operated by personnel from the Order-Taking FCM, not Advantage personnel. Because some electronic trading systems only accept orders that specify a limit price or do not accept contingent orders, and because the possibility of electronic trading system failures or unavailability, and Exchange actions beyond the Order-Taking FCM's control always exist, be advised all orders placed with or submitted through, such global 24-hour execution service will be accepted only on a "not-held basis," meaning that neither Advantage nor the Order-Taking FCM assumes any liability for any failure of order entry, execution, or cancellation of an order or for any errors arising at, or related to, a 24-hour execution service, except error due to willful misconduct or gross negligence.

By signing below, you are confirming you have read, understand and accept the above.

Name of Account \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Name and Title - Please Print \_\_\_\_\_

## Authorization to Transfer Funds

Advantage Futures LLC ("Advantage") is authorized and directed, at any time and from time to time, without prior notice to Client, to transfer from one account of Client to another account of Client carried by Advantage (including transfers between regulated and non-regulated accounts) such excess funds, equities, securities or other property as in Advantage's judgement may be required for margin, or to reduce any debit balance or to reduce or satisfy any deficits in such accounts, provided such transfer is not in conflict with the Commodity Exchange Act or other regulations promulgated there under. Notices of all transfers of funds made pursuant hereto shall be promptly confirmed in writing to the Client.

By signing below, you are confirming you have read, understand and accept the above.

Name of Account \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Name and Title - Please Print \_\_\_\_\_

## Consent to Take the Other Side of an Order

Client hereby agrees that without prior notice from Advantage Futures LLC ("Advantage"), when Advantage executes, sells or buys orders on Client's behalf, Advantage, its directors, officers, employees, agents, affiliates, and any floor broker may buy or sell for an account in which any such person has a direct or indirect interest, subject to the limitations and conditions, if any, contained in the by-laws, rules or regulations of the exchange or board of trade upon which such buy or sell orders are executed, and subject to the limitations and conditions, if any, contained in any applicable regulations lawfully promulgated by the Commodities Futures Trading Commission.

By signing below, you are confirming you have read, understand and accept the above.

Name of Account \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Name and Title - Please Print \_\_\_\_\_